



Ministry of Agriculture



Eswatini Water and Agricultural Development Enterprise (ESWADE)

MINISTRY OF AGRICULTURE

IFAD LOAN NUMBER: Loan no.2000001126, Grant no.2000001127

SMALLHOLDER MARKET-LED PROJECT/CLIMATE SMART AGRICULTURE FOR RESILIENT LIVELIHOODS (SMLP/CSARL)

CALL FOR EXPRESSION OF INTEREST

(Firm Selection)

**ENVIRONMENTAL AND SOCIAL MANAGEMENT
FRAMEWORK (ESMF) CONSULTANCY SERVICE**

ESWADE/0442

EOI ISSUANCE: 13TH NOVEMBER, 2019

EOI CLOSING: 27TH NOVEMBER, 2019

INVITATION TO TENDER

Eswatini Water and Agricultural Development Enterprise (ESWADE)

Smallholder Market-Led Project/Climate Smart Agriculture for Resilient Livelihoods (SMLP/CSARL)

CALL FOR EXPRESSION OF INTEREST

ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK (ESMF) - CONSULTANCY SERVICE

TENDER No. ESWADE-SMLP/0442

Project Brief

1. The Eswatini Water and Agricultural Development Enterprise (ESWADE) is a government company established by the Government of Eswatini in 1999 to empower communities to improve their quality of life through projects in commercial agriculture and other enterprises; providing innovative services in infrastructure development and management as well as expertise to public and private entities. ESWADE has been mandated by the Government of Eswatini to implement the Smallholder Market-Led Project/Climate Smart Agriculture for Resilient Livelihoods (SMLP/CSARL).
2. SMLP/CSARL is targeting reducing poverty and food insecurity of poor rural dwellers through investment supporting increased agricultural production and productivity and market-led commercialization of smallholder agriculture. Production of commodities suitable both for household nutrition and market supply will be enhanced as will the linkages between markets and producers, resulting in improved food security and incomes. The project targets economically active poor households and food deficit households in thirty-seven (37) chiefdoms (Shiselweni 22, Lubombo 10 and Manzini 5) located in within twelve (12) Tinkhundla in the southeast of Eswatini.

Scope of Assignment

3. An ESMF needs to be prepared to:
 - set out the principles, rules, guidelines and procedures to screen, assess, manage and monitor the mitigation measures of environmental and social impacts of the project activities and subprojects, including health and safety aspects;
 - It will establish clear methodologies for the evaluation of subprojects to be financed, and ensure that the activities are environmentally and socially sound and sustainable and are in compliance with the SECAP guidelines and serve as guidance for the development of subprojects' screening checklists, simplified site specific Environmental and Social Management Plans (ESMPs) or Environmental and Social Impact Assessments (ESIAs), according to each subprojects' potential impacts significance.
 - the ESMF should comply with the Eswatini Environmental Laws and Regulations.

Eligibility of Consulting Firms

4. The project invites eligible Consultancy Firms to express their interest in the provision of the consultancy services. Interested firms must provide information indicating that they are qualified to perform the services and submit the following documents:
 - Business Profile;
 - Description and experience of similar assignments, i.e., list of assignments previously undertaken
 - Availability of appropriate skills among staff i.e., qualifications, personnel and any other factor related to their ability to successfully perform the assignment.
 - Audited financial reports for the last two years.

Interested firms may associate to enhance their qualifications.



Submission Date

5. The potential firms may obtain further information (EoI document) at www.swade.co.sz and www.sppra.co.sz or contacting the address below during the official hours from 0830hrs to 1600 hrs (Monday to Friday).
6. The proposals should be hand delivered and deposited in the Tender Box situated at ESWADE Head Office, 3rd Floor, MVA Building, Mbhilibhi Street, Mbabane, Eswatini no later than **Wednesday, 27th November 2019 at 10:00a.m.** The sealed envelope must be clearly marked TENDER No. ESWADE-SMLP/0442 and addressed to:

THE CHAIRMAN OF THE TENDER BOARD**ESWADE-SMLP/0442****Eswatini Water & Agricultural Development Enterprise****Expression of Interest for Environmental and Social Management Framework (ESMF)**

Late, telegraphic or faxed proposals will not be considered.



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Instructions to Tenderers

CONFIDENTIALITY

No part of this document may be disclosed verbally or in writing, including by reproduction, to any third party without the prior written consent of Eswatini Water & Agriculture Development Enterprise (ESWADE). This document, its associated appendices and any attachments remain the property of ESWADE and shall be returned upon request.



REQUEST FOR EXPRESSION OF INTEREST

CONTRACT NO.: ESWADE/0442

1. Background

The Smallholder Market-led Project (SMLP) is targeting reducing poverty and food insecurity of poor rural dwellers through investment supporting increased agricultural production and productivity and market-led commercialization of smallholder agriculture. Production of commodities suitable both for household nutrition and market supply will be enhanced as will the linkages between markets and producers, resulting in improved food security and incomes. The project targets economically active poor households and food deficit households in thirty-seven (37) chiefdoms located in within twelve (12) Tinkhundla in the southeast of Swaziland.

Thirty-seven Chiefdoms out of an approximate total of 50, located within twelve Tinkhundla in the three regions of Swaziland which are Lubombo, Manzini and Shiselweni, pre-selected based on their rain-fed nature and on the Chiefdom's leadership's commitment to engage with the Project and set-up and consult community development committees in decisions pertaining to development activities. The approximate population of the Chiefdoms is ca. 96,000 people or 16,000 households. Pending a more exact inventory of population and poverty, it is estimated that 85% (81,600) are predominantly engaged in smallholder agriculture, and stand to benefit from the Project. A little over 60% (57,600) of the population classifies as poor; and 5/6th (48,000) of those can be seen as economically active smallholders. Ten percent of the households (1,600) households) is food deficit and is unlikely to be able to produce for the market as these households are headed by children, elderly/very old, chronically ill and disabled. Five percent better-off households rely on incomes outside agriculture.

The Project targets economically active poor households. As this group is in need of both better nutrition and enhanced income, the choice of value chains to be developed is centred on comparative advantage for homestead production of food commodities. Increased productivity will support nutritional intake and marketing of surplus production to enhance household incomes. Project investments in systems for market linkage, land and water conservation and engagement of extension services have significance for national service delivery. The Project, scheduled to run for a six-year period from February 2016 up to 30th September 2022, delivers results in three areas:

Component 1 –Chiefdom Development Planning. Based on an approach that is embraced by the Ministry of Tinkhundla (Local Government), the Project will support participatory planning in the Chiefdoms, whereby decision-making on socio-economic development activities is informed by consultation with concerned households and communities. The result will be a transformation of the development processes in the Chiefdoms, contributing to the allocation of the natural resources in the Chiefdom to agricultural and economic activities that benefit the Chiefdom's population both in terms of food security and incomes.

Component 2 –Infrastructure for Soil and Water Conservation. The Project invests in rainwater harvesting, in rehabilitation and new construction of small irrigation dams and in erosion control with a view to enhance the productivity of land and water resources in a sustainable manner. All investments will be guided by the Chiefdom Development Planning, as well as by effectiveness criteria. All infrastructures will be developed with the intended users, with groups and agreements being established under the purview of the Chiefdom. The capture of water resources and the protection of land resources result in those resources being available to market-led agricultural initiatives.

Component 3 –Market-led Agriculture. Existing and confirmed market demands, which have been identified for legumes, vegetables, fruits, indigenous chicken, goats and beekeeping, form the starting point for development and improvement of value chains. Supported by the Project, market partners will take steps to improve and innovate the value chains available to smallholder farmers.



2. General Objective

The Ministry of Agriculture (MoA), Eswatini Water and Agriculture Development Enterprise (ESWADE) has received a loan from the International Fund for Agricultural Development (IFAD) towards the implementation of Smallholder Market-Led Project/Climate Smart Agriculture for Resilient Livelihoods (SMLP/CSARL) and intends to apply part of the proceeds of this loan for eligible payments under the contract for **Environmental and Social Management Framework (ESMF)- Consultancy Service** for the Project.

The project intends to recruit a Consultancy firm to provide Services for the Environmental and Social Management Framework (ESMF) in the project chiefdoms. The consultancy services are required from suitably qualified and competent Consultancy firms to undertake the following works:

- which will provide the baseline environmental and social circumstances in the project development area, and assess the potential generic environmental and social impacts associated with the project.
- the estimated impacts will be as detailed as possible within the level of knowledge of activities at the time it is prepared.
- the ESMF will need to satisfy the requirements of the International Fund for Agricultural Development (IFAD) Social, Environment and Climate Assessment Procedures (SECAP) and the Eswatini Environmental Legislation.
- the consultancy is also expected to prepare a Grievance Redress Mechanism for the project.

All instruments will require comprehensive consultations including with key stakeholders and community representatives in the project area. Consultations, including potential concerns and issues raised, should be carefully documented and accompanied by signed participants lists, pictures, and included as annexes to the report.

3. Expression of Interest Process

The objective of the call for expression of interest is to prequalify Consultancy Firms for Environmental and Social Management Framework (ESMF). Tendering will be conducted through **Expression of Interest (Eoi)** procedures specified in the Swaziland Public Procurement Act, 2011 and policies laid down by the Eswatini Public Procurement Regulatory Agency (ESPRA) together with the IFAD Procurement Guidelines of 2010.

The complete Eoi document in English may be downloaded from our website, www.swade.co.sz or the ESPRA's website at www.sppra.co.sz.

4. Information to be submitted with Eoi

Consultancy Firms are to submit the Eoi Document and Forms completed in all respects. The Eoi document is to be kept intact, bound and listed as per the requirements mentioned in the Eoi. The full Eoi shall include the following documents:

- 1) Company Profile with trade references
- 2) An Original and Valid Tax Compliance Certificate
- 3) A Copy of Form J endorsed by Register of Companies
- 4) A Copy of Form C endorsed by Register of Companies
- 5) Certified Copies of National IDs of Company's Directors listed in Form J
- 6) Valid Police Clearance Certificates of Company Directors listed in Form J
- 7) A Current Copy of Labour Compliance Certificate
- 8) A Current copy of Original Workman's Compensation Policy



- 9) Signed Declaration of Eligibility
- 10) A Valid Copy of Trading License
- 11) A document providing Power of Attorney

The documents below are excluded for Non-Profit Association/Organization:

1. A Valid Copy of Trading License
2. A Copy of Form C endorsed by Register of Companies

5. Notification to Successful Consultancy Firms

ESWADE will notify the successful Consultancy (if any) of the acceptance of their proposal within the period of EoI validity or any extended period of validity of EoI, if applicable.

6. Submission of Expression of Interest

Proposals shall be valid for a period of 90 days after the deadline of EoI submission. Late proposals will be rejected. EoI opening will **NOT** be opened publicly.

Location of EoI Submission:

ESWADE OFFICE
SINCEPHETELO MVA BUILDING, 3RD FLOOR, MBHILIBHI STREET
MBABANE, HHOHHO REGION, ESWATINI

7. Selection Process and Criteria

Evaluation of the EoI will be based on a pass or fail basis using, but not limited to the elements listed in Section 4. The EoI Evaluation will be done by ESWADE.

Qualification will be based on submission of documents listed in Section 4 regarding the legal status of the Consultant. Failure to submit the listed documents as per Section 4 of this document will result to disqualification of the proposal. Failure to provide all the documentation and/or complete all requirements of this document at the time of submission shall invalidate this application.

8. Persons Excluded from this Tender

Public officers and politicians shall not participate in all ESWADE tenders as stated in the Swaziland Public Procurement Act, 2011, Section 60(2). Participation and/or completion of this pre-qualification stage by consultants shall not constitute an offer from ESWADE to provide the required services or a promise to enter into Contract(s) with the ESWADE. Nor will it obligate ESWADE in any way with regard to their final decision.

9. Enquiries Regarding Tender Documents

All enquiries in connection with this EoI are to be directed to ESWADE in writing. Tenderers may request clarification on or before **Tuesday, 19th November 2019**. Any request for clarification must be sent in writing, through standard electronic means to smlp-procurement@eswade.co.sz. Verbal questions will **NOT** be accepted. Should ESWADE deem necessary to amend the EoI document as a result of a clarification, it shall do so and inform all Tenderers.



10. General Information

The details entered hereunder will be considered confidential. ESWADE reserves the right to consult referees, clients or consultants, as entered in this pre-qualification document. ESWADE reserves the right to require the vendor to produce evidence in support of all details entered herein. ESWADE representative(s) may be required to visit the Company's premises to verify the details contained in this document. The vendor will allow ESWADE representatives to interview present and previous clients.

11. Date of Closure of Tenders

The Date and Time for closure of the EoI is **Wednesday, 27th November 2019**. Late proposals will not be accepted. A Postponement of the closure of EoI may be made by ESWADE by issuing a public Notice to Tenderers.

12. Cost of Preparation of the EoI

Neither ESWADE and the Government of Eswatini will be responsible for, or pay for, any expense or loss which may be incurred by the Tenderers in the preparation of the proposal.

13. Validity of EoI

The EoI shall be held valid for a period of ninety days (90) CONSECUTIVE CALENDAR DAYS from the closing date of the EoI.



DECLARATION OF ELIGIBILITY

[Pursuant to Section E. "Eligibility" of the IFAD Project Procurement Guidelines, IFAD financing proceeds are disbursed exclusively for expenditures of goods, works and services produced in or supplied from the territory of any country.]

[All Tenderers must meet the following criteria, to be eligible to participate in public procurement. Tenderers must provide a signed declaration on their company letterhead in the following format. If the EoI is being presented by a joint venture or consortium all members must sign the declaration.]

[>>> Name of Tenderer, Address, and Date>>>]

**To: The Chief Executive Officer
Eswatini Water & Agriculture Development Enterprise (ESWADE)
Sincephetelo MVA Fund Building, 3rd Floor
P.O. Box 5836
Mbabane, Kingdom of Eswatini**

Dear Sir,

RE: Call for Expression of Interest – ESWADE/0442

In accordance with the eligibility requirements of the Procurement Regulations and the EoI document we hereby declare that:

- (a) We, including any joint venture partners or consortium partners, are a legal entity and have the legal capacity to enter into the contract *(confirmed by the company's current & valid Trading License)*;
- (b) We, further declare that we are not insolvent, in receivership, bankrupt or being wound up, our affairs are not being administered by a court or a judicial officer, our business activities have not been suspended and we are not the subject of legal proceedings for any of the foregoing *(confirmed by fully signed Power of Attorney)*;
- (a) We, declare that we have fulfilled our obligations to pay taxes and social security contributions *(confirmed by Tax Compliance Certificate)*;
- (b) We adhere to basic labour legislation *(confirmed by Labour Compliance Certificate)*;
- (c) We, have not, and our directors or officers have not, been convicted of any criminal offence related to our/their professional conduct or the making of false statements or misrepresentations as to their qualifications to enter into a contract within a period of five years preceding the commencement of the procurement proceedings *(confirmed by Affidavit or Police Clearance Certificates)*; and
- (d) We, do not have a **conflict of interest** in relation to the procurement requirement *(confirmed by Declaration of Eligibility)*;
- (e) it is not subject to suspension in accordance with Section 55 of the Swaziland Public Procurement Act, 2011, and none of its directors or officers have been involved with tenderer or supplier currently subject to suspension *(confirmed by Declaration of Eligibility)*;

Authorized Signature:

Date:.....



14. ANNEXURE A

Section 1: Company Details

1.1 Company information

- 1.1.1 Quote Contract Number – ESWADE/0442
- 1.1.2 Name of the company
- 1.1.3 Registered Physical address
- 1.1.4 Postal Address
- 1.1.5 Telephone
- 1.1.6 Email of contact persons Address

1.2 Company profile

- 1.2.1 Brief company profile including date of Company Registration
- 1.2.2 Associated companies (if any) or holding company
- 1.2.3 Name and Full address, and contact numbers of Bank to whom ESWADE may make inquiries to verify the financial viability of the Company

1.3 Labour Strength: Number of staff based in;

- 1.3.1 Eswatini office
- 1.3.2 Other locations
- 1.3.3 Number of experts and their skills

Section 2 – Track Record

2.1 Implementation of similar services done in last 3 years

- 2.1.1 Name of Client
- 2.1.2 Contract Period
- 2.1.3 Contact persons

2.2 Contracts aborted (Failure to disclose this information may lead to cancellation of contract if awarded).

2.3 Referees (Related institutions)

- 2.3.1 Name of contact person
- 2.3.2 Company / Organization
- 2.3.3 Telephone Email address

Section 3 – Implementation Approach

3.1 Approach for implementation of the services

(End of Document)

